

A low-angle, upward-looking photograph of several modern skyscrapers with glass facades, creating a sense of height and urban density. The buildings are rendered in a dark, monochromatic style, with the sky appearing as a light gray background.

DIRECTPRIME

AML POLICY

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Our Services include trading services that involve transactions in the financial markets and consequently engage in money. Therefore, we establish policies to maintain our international operations lawful, where we comply with the applicable jurisdiction's money laundering prevention policies.

The main objective of our Anti-Money Laundering ("**AML**") Policy is to sift unlawful activities such as financial fraud, tax evasion, embezzlement, terrorist funding, and other operations that involve concealing the origin of illegally acquired money.

Money laundering is a serious financial crime, and we have the right to cooperate with the relevant authorities if a client is proven involved in any laundering operations. By all means, we observe a code of conduct, procedures, and policies to detect and prevent any financial crime within our business operations.

Compliance with the relevant policies

In compliance with the AML Policy, we strictly adhere to our Know-Your-Customer ("**KYC**") Policy that verifies our clients' identities. You hereby agree that you will fulfill the obligations under the KYC and AML policies to persist in a legal business operation with us. Furthermore, in compliance with the applicable code of conduct, we will ensure proper identification of our clients who transact with us.

As stated in our KYC Policy, you will disclose your source of funds, which will be used to trade with us, and submit the required documents. More than providing the required documents, we will also keep records of your financial transactions to monitor our daily operations. However, for privacy and security reasons, we have the right to refuse requests for the provision of those records, including preventing illegal use.

By performing transactions with us, you agree and authorize us, including our service providers, to collect such financial records, which we may use to audit Suspicious Transaction Reports and submit to the relevant administration.

Our internal audit includes internal control regulations and a code of conduct for applying due diligence measures, especially if a Client is a politically exposed person ("**PEP**"). The internal audit also helps us identify if a Client resides in a country without ample means to prevent money laundering and terrorist financing.

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The financial market is volatile in nature, which may work to your advantage or disadvantage. Due to the significant risks of trading derivatives and other leveraged products, you should only conduct transactions with us if you understand the nature of the contracts you enter into. You should carefully assess the extent of your risk potential and consider whether trading is appropriate for you based on your experience, objectives, risk appetite, and other relevant factors.

Means for preventing unlawful financial operations

In compliance with our KYC Policy, we use Client data to carry out the appropriate measures in preventing illegal and unlawful financing operations, including assessing if you have a higher risk level.

For instance, we must identify if your risk arises from your field of employment or activity, including its legal form and management structure, and whether you are a part of a civil law partnership or a trust fund.

One measure of prevention is identifying if your original or current residency is registered in a territory with a low tax rate or where the regulations for unlawful financing are not minutely enforced. We may also consider countries cooperating with criminal groups or whether criminal groups can use the country to pursue operations.

Additionally, we have the right to tighten our verification measures if your transactions seem unusual or economically impracticable. For example, such transactions may include constant information change, a rapid capital increase, and rendering services to anonymous third parties.

We may also apply stricter measures if a Client's background provides weapons development related to mass destruction or any crime, including cash-play operations, such as currency exchange locations and gambling operations.

If you have a limited legal capacity, we shall evaluate your conditions and whether you can still use our Services. If we find that you are unable to carry out the most critical situations, we have the right to terminate any business relationship immediately.

We regularly update our data records and clients' operating profile to ensure the validity of each Client's risk level and if the Client is free of criminal records, especially related to financial activities.

Third-party transactions

In observation of preventing unusual and unlawful transactions, we will not enter into operations or establish relationships with anonymous or unidentified users. In case of transactions performed by a third party on your behalf, the relevant third party must present a power of attorney subject to our approval.

We reserve the right to invalidate third-party transactions without notice if deemed necessary. Additionally, we have the right to waive operations and terminate transactions with users who do not provide sufficient information or the appropriate documentation. Furthermore, we can reject transactions from individuals who refuse to disclose the transaction's purpose and other relevant background information.

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