

A low-angle, upward-looking photograph of several modern skyscrapers with glass facades, creating a sense of height and urban density. The sky is a uniform, dark grey, providing a high-contrast background for the white and yellow text.

**DIRECTPRIME**

# KYC POLICY

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# KYC POLICY

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The main objective of this Know-Your-Customer ("**KYC**") Policy is to establish a systemic identity verification to ensure lawful transactions between Direct Prime Ltd. ("**Direct Prime**," "**Company**," "**we**," "**us**," "**our**") and the Client ("**Client**," "**you**," "**your**").

This Policy contains the type of information and documents we may require from your side upon using our Site and Services, including the formal process on how to submit such data and to whom it will be disclosed. Refer to our Privacy Policy for more information about the security of the personal data we collect.

In compliance with this Policy, we can use your identifiable information to detect illegal schemes such as identity theft, financial fraud, grand larceny, terrorist financing, and other unlawful activities. In such cases, we have the right to terminate your use of our Services immediately, and we can use any submitted information to pursue legal claims.

You acknowledge that if you do not provide the necessary information or documents stated herein, we may be unable to provide you with our complete Services. Non-provision of KYC data may also lead to an unsuitable trading portfolio and the inability to complete your transactions. You hereby agree that you have read this Policy's terms, including our other policies, in their entirety before using our Services.

## Information you submit

We collect the following information when you register an account with Direct Prime:

- a) Full name
- b) Age and birthdate
- c) Country of residence and other address information
- d) Contact information such as phone number and email

It is necessary to log your current and valid contact information since it will be used for daily transactions, including regular communication with our representatives.

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The financial market is volatile in nature, which may work to your advantage or disadvantage. Due to the significant risks of trading derivatives and other leveraged products, you should only conduct transactions with us if you understand the nature of the contracts you enter into. You should carefully assess the extent of your risk potential and consider whether trading is appropriate for you based on your experience, objectives, risk appetite, and other relevant factors.

Once you have an active account and start exchanging transactions with us, we may ask you to submit the required documents to verify your account. Such required KYC documents include, but are not limited to:

- a) Valid identification cards:
  - i. Driving license
  - ii. Passport
  - iii. National or government-issued IDs
- b) Proof of address or certificate:
  - i. Bank statement
  - ii. Utility bill
  - iii. Government-issued lease contract
- c) If a credit card was used to fund the trading account:
  - i. The front copy should only show the first six figures and the last four digits
  - ii. The expiration date should be covered
  - iii. The back copy should only show the exact figures as the front copy
  - iv. CVV should be covered

All IDs must show the validity date, birthdate, and signature, if applicable. Proof of address must not be dated for more than six months and should be identical to your registered address. Additional documents may be required if you currently reside outside your country.

The KYC documents may vary depending on your jurisdiction and used payment service provider (“**PSP**”). Consequently, you agree to submit the additional documents required by your country of jurisdiction and your used PSP. Moreover, we have the right to request other data essential to comply with the KYC Policy.

You are responsible for declaring if there are differences among your current location, temporary address, and permanent residency, including if you have dual or multiple citizenship. Such declaration is necessary to identify whether you are permitted to engage in transactions with us and avoid address-registration issues in due course.

If an individual Client registers a trading account as corporate, a different set of required information and documents are required. KYC data for corporate accounts include, but is not limited to:

- a) Identity proof of the individual representing the firm
- b) Utility bills of the firm
- c) Legal authorization of company expenditure

Financial transactions, such as deposits and withdrawals, require the provision of bank information and other details related to such transactions. We may also ask for your preferred currency, source of income, and fund allocation for trading. We have the right to be informed of your financial status to classify your trading objectives accordingly, and due to the Company’s nature of business, you should also declare your occupation, source of revenue, annual income, and market expenditure.

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## Collected data upon contacting us

If you contact us through our available means of communication, we will ask for the relevant information to identify you accordingly. For instance, we may ask for your registered email, account number, full name, and phone number. We have the right to reject processing your inquiry, request, or concern if you refuse to provide those details.

When you contact us through our official social media platforms, we will ask you for the abovementioned information. Consequently, we may also gather information from your used platform, where you agree that such collected data can be added to or combined with your existing data with Direct Prime.

## Methods of providing documents

When submitting KYC documents, you must provide quality and legible copies, and such copies should show the complete required information. You can provide the required documents by uploading them on the Client portal or sending them to our official email. We oblige you to use your registered email when sending us the required documents.

## Complying with KYC Policy

We will only register your personal data according to the information and documents you submit. All records are confidential and will only be used for business purposes. You agree that all submitted information and KYC documents can be used for the following:

- a) Verify your identity
- b) Identify if you are a politically exposed person ("**PEP**")
- c) Verify your trading account
- d) Verify and confirm deposit transactions
- e) Maintain a systematic financial business transaction, including withdrawals, refunds, and chargebacks
- f) Monitor and maintain trading activities, including customer assistance
- g) Use the Client data to pursue, exercise, and defend legal claims
- h) Comply with the applicable jurisdictions regarding the relevant KYC regulations

Furthermore, due to our nature of business, we have the right to disclose your submitted data to the following parties:

- a) Direct Prime management, including directors, parents, and subsidiaries
- b) Company administrations, representatives, and employees
- c) Third-party affiliates, such as payment service providers and banks
- d) Liquidity providers and other financial institutions
- e) Applicable public authorities and government bodies

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## Security and integrity

We protect your information using data security technology such as firewalls and encryption. You must also use your username, email, and password whenever you access your account. You should not share these credentials with anyone, and we recommend not reusing a password from other platforms. We only authorize legitimate business purposes within our facility to secure your data.

However, although we have established internal safety measures to secure and keep your information confidential, we cannot guarantee that the collected data and documents will remain private at all times. We are not liable for contingencies, such as severe system malfunction, unauthorized access to your account, and other issues that will affect your data privacy. You acknowledge these risks upon providing your information to the Company.

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